



PRESS RELEASE – FOR IMMEDIATE DISTRIBUTION
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AUSTIN BARON PASSES UNIFORM INVESTMENT ADVISER LAW EXAMINATION

Clearwater Capital Partners is pleased to announce that Austin C. Baron, has successfully passed the Uniform Investment Adviser Law Examination, as administered by the Financial Industry Regulatory Authority (FINRA). Accordingly, Austin now becomes a Registered Investment Advisor Representative and will continue in the capacity of Associate Advisory in Residency for the firm.

“The Series 65 Exam is a notoriously difficult test to pass,” said John Chapman, Managing Partner and Chief Executive Officer. “Passing this exam represents a major milestone for Austin as he progresses through our Associate Advisor Residency program. Given the growing complexities and disciplines of professional wealth management, Austin’s accomplishment serves to underscore our firm’s deep commitment to excellence.”

The Uniform Investment Adviser Law Examination, commonly referred to as the Series 65 exam, is administered by the Financial Industry Regulatory Authority (FINRA) and is designed to qualify candidates as investment adviser representatives. The exam covers topics that have been determined to be necessary to provide investment advice to clients, and all applicants are allowed 3 hours to complete the closed book examination. The questions in the examination, the examination study outline, and the method by which the examination is administered have been designed by Chauncey Group International for the North American Securities Administrators Association (NASAA), the oldest international organization devoted to investor protection.

About Clearwater Capital Partners

Clearwater Capital Partners is a privately held, Independent Registered Investment Advisor (RIA). The firm provides comprehensive wealth management services to successful individuals and families through their Private Client Practice. The firm’s Institutional Advisory Group offers a suite of professional services to businesses, non-profit organizations, foundations, and ERISA governed retirement plans.

About the FINRA

The Financial Industry Regulatory Authority (FINRA) is an independent, not-for-profit organization authorized by Congress to protect America’s investors by making sure the securities industry operates fairly and honestly. For more than 70 years, FINRA has played a critical role in America’s financial system, working to protect investors through effective and efficient regulation of the securities industry. This independent regulation plays a critical role in America’s financial system—by enforcing high ethical standards, bringing the necessary resources and expertise to regulation and enhancing investor safeguards and market integrity. FINRA is responsible registering and educating all brokers, examining securities firms, writing the rules they must follow and enforcing those rules and federal securities laws.

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Investment Advice offered through Clearwater Capital Partners a Registered Investment Advisor.