



Carter R. King, CPFA®

Retirement Plan Consultant

Carter King is a Retirement Plan Consultant at Clearwater Capital Partners. He partners with business owners, HR leaders, and plan sponsors to help them design, implement, and manage qualified and non-qualified retirement plans that align with their organization's goals and fiduciary responsibilities. Carter brings a deep operational foundation to his consulting role, combining strategic insight with hands-on experience in plan governance, investment menu construction, and participant engagement. His work focuses on delivering fiduciary excellence, enhancing plan efficiency, and improving retirement outcomes for employees.

Carter joined Clearwater with nearly four years of experience managing retirement plan trading and operations at FuturePlan by Ascensus, a third-party administrator (TPA) overseeing over 38,000 retirement plans with over \$119 billion in assets under management. During his time at Ascensus, he supervised trading activities for multiple trade agents, managed the trading inbox, and collaborated with various teams to serve daily valuation needs for retirement plans. Some of his key responsibilities included performing daily share reconciliations, monitoring trade settlements, processing payments to advisors and recordkeepers, preparing broker commission statements, and managing over 1,200 participant loans.

Carter interned at Clearwater Capital Partners during the summer of 2019, where he gained valuable experience in both the administrative and client-facing aspects of the financial services industry. His primary focus was on assisting the Director of Retirement Plan Services in advising companies that sponsor qualified retirement savings plans. He prepared monitoring reports on fund menus, researched potential fund replacements, and addressed various needs of plan sponsors, trustees, and participants. During this internship, Carter developed a strong foundational knowledge of retirement plan management and the key roles involved in the process.

Carter earned a Bachelor's degree in Finance from Oral Roberts University in Tulsa, Oklahoma. He earned the Certified Plan Fiduciary Advisor® designation through the National Association of Plan Advisors.

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